



**INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG) OR
PROGRAMME COORDINATION BUDGET (PCB)**

Country: Colombia

Relevant UNDAF Outcome(s) and Indicator(s):

- *National, regional and local capacities strengthened for integrated land management, with emphasis on environmental sustainability and integrated risk management.*

Relevant CP/CPAP Outcome(s) and Indicator (s):

- *National and regional capacity consolidated for the knowledge, conservation and sustainable use of biodiversity and for the preservation, management and recovery of the ecosystems in order to guarantee the maintenance of environmental assets and services.*
- *Expected Outcome(s)/Indicator (s): National capacities are strengthened in order to promote environmental sustainability, comprehensive disaster risk management and sustainable spatial planning*
- *Expected Output(s)/Indicator(s): Public and SC Institutions strengthen their capacity to formulate and implement Environmental Management programmes and initiative that guarantee the provision and maintenance of environmental goods and services, with an emphasis on conservation, restoration, sustainable use of strategic ecosystems processes; and provision, rational and efficient use of energy and integral management of water.*

Relevant UNDP Strategic Plan 2008-2011 Key Result Area: Poverty Reduction and Sustainable Development

Programme Period: 2008 - 2014
 Programme Component: Sustainable development
 PPG Title: The development and production of natural dyes in the Choco Region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol
 Award ID: 00072482
 Project ID: 00085564
 Duration: February 2013 – Dec 2013
 Management Arrangement: DIM

Total budget:	40.091
Allocated resources:	
• Government	
• Regular	_____
• Other:	
○ GEF	20.091
○ Donor	_____
○ Donor	_____
• In kind contributions	20.000

AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:

Bruno Moro, Resident Representative

Signature

Date: (Month, day, year)

05 MAR 2013

Brief Description of Initiation Plan

The project is consistent with the eligibility criteria and priorities of the Nagoya Protocol Implementation Fund (NPIF) as it will support the government of Colombia to implement a regional project involving the private sector and the communities located in collective territories of the Chocó rainforest which will promote sustainable productive alternatives derived from the rainforest following sustainable and Access and Benefit Sharing (ABS) criteria. Lessons from this project will be used to update ABS laws and regulations and to improve the capacities in Colombia to facilitate ABS agreements and handling issues under the Nagoya Protocol.

Currently, the Access to genetic resources is ruled under the 391 decision of the Andean Nations Community (*Decisión 391 de la CAN: Comunidad Andina de Naciones*). However the practical aspect of this decision is difficult to implement due to the lack of local implementations of this regional trust. This project intends to be a model to demonstrate the feasibility of development initiatives derived from biodiversity, complying with current regulations, implementing the provisions of the Nagoya Protocol and giving the national authorities the possibility of implementing, monitoring and improving the procedures and stages needed to develop projects of sustainable exploitation of biodiversity.

The establishment of a sustainable value chain for the fruits of the Jagua (*Genipa Americana*) tree is put under consideration as a way to obtain a natural blue dye for the food, cosmetic, and personal care industries. The community involvement in this project is high, since the forests that contain the native fruits are located in Afro descendant collective territories of the Chocó Department, and in collective territories of the Emberá communities in Chigorodó, Antioquia, both in the Choco Biogeographic region. The principal socio economic benefit of the project will derive from the establishment of a new economic activity based on the sustainable exploitation of non-timber forest products and by ensuring the equitable distribution of benefits, complying with the principles of Prior Informed Consent and Mutually Agreed Terms. The involvement of the private sector is needed since the funding to conduct this kind of projects, particularly for activities related to basic research; industrial transformation, legal barriers, and market development are unlikely to be assumed by the local communities.

PPG funds was requested to undertake a series of activities vital for the development of the project's design, including the collection of key baseline information, policy, institutional, and stakeholder analysis, obtaining free and prior informed consent and definition of specific strategies to implement during the Full Project

The PPG process will engage local stakeholders and support activities to complete the design of the project "The development and production of natural dyes in the Choco region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol". The final result of the PPG process will be the project document (PRODOC) and the CEO Endorsement Request, which will be submitted to the GEF Secretariat within established times, and with all required supporting information, including co-financing letters.

GEF PPG approved grant document



PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: MEDIUM-SIZED PROJECT
TYPE OF TRUST FUND: NAGOYA PROTOCOL IMPLEMENTATION FUND

Submission date: January 4, 2013

GEF PROJECT ID: 5160

GEF AGENCY PROJECT ID: 5139

COUNTRY(IES):Colombia

PROJECT TITLE: The Development and Production of Natural Dyes in the Choco Region of Colombia for the Food, Cosmetics and Personal Care Industries Under the Provisions of the Nagoya Protocol

GEF AGENCY(IES):UNDP

GEF FOCAL AREA(s): BIODIVERSITY

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	February 2013
Completion date of PPG	May 2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The PPG funds will be used to undertake in-depth analysis of key issues, carry out consultations through strong participation of all related government sectors, communities, private sector and other key stakeholders in order to complete the baseline of the project. The proposed activities to be developed are listed as follows:

1. Situation analysis about Genipa americana blue colorant value chain: *PPG resources will be used to define the legal/political baseline and development/value chain of access and sustainable use of Genipa americana, identifying threats, barriers and opportunities. The project will also examine risks, barriers and roles derived from the relationship amongst ECOFLORA, local communities, NGOs and national authorities found in the two sites were samples will be collected. This activity will contribute to prevent conflicts among local stakeholders and ECOFLORA and ensure a successful implementation of the proposal.*
2. Assessment of existing capacity and needs related to access to genetic resources and benefit sharing in the Ministry for Environment and Sustainable Development (MADS): *This activity will establish a baseline for capacity and training needs of staff on ABS within MADS.*
3. Obtaining free and prior informed consent (FPIC): *PPG funds will support efforts to obtain FPIC from the two communities that participate in the project. The PIF will be socialized amongst these communities and evidence of FPIC will be obtained. This effort will build on current consultation activities carried out by ECOFLORA with these communities in order to comply with national legislation on the matter.*
4. Final proposal preparation (budget and key project design elements):*Based on the above analysis and detailed information the project document will be prepared, taking into account the incremental cost analysis and project cost-effectiveness, risks and mitigation measures in order to establish clear and achievable results framework and budget and its corresponding monitoring and evaluation plan. A detailed sustainability strategy will be defined in order to ensure replication of benefits beyond the lifetime of the project.*

List of Proposed Project Preparation Activities	Outputs of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Situation analysis about <i>Genipa Americana</i> /blue colorant value chain	<ul style="list-style-type: none"> Baseline for legal/political access to <i>Genipa Americana</i> Analysis of threats, barriers and opportunities for the sustainable use of <i>Genipa Americana</i> Stakeholders engagement plan, including a clear definition of roles and responsibilities 	NPIF	6,000	3,000	9,000
Assessment of existing capacity and needs related to access to genetic resources and benefit sharing in MADS	<ul style="list-style-type: none"> Assessment of current capacities and needs on ABS Baseline on capacity development indicators for government stakeholders 	NPIF	4,000	3,000	7,000
Obtaining free, prior and informed consent (FPIC)	<ul style="list-style-type: none"> Written evidence (e.g., agreements, meeting minutes or documents) demonstrating that local communities and other relevant stakeholders understand ABS issues and give their consent for project implementation in their territories 	NPIF		12,000	12,000
Final proposal preparation (budget and key project design elements)	<ul style="list-style-type: none"> Detailed project strategy, including incremental cost analysis, cost-effectiveness to identify optimal interventions. Risks and mitigation measures Project results framework Project M&E plan including baseline levels, indicators, methodologies and targets to track project progress and effectiveness. Detailed budget and a sound replication strategy Execution arrangements Sustainability assessment and strategy 	NPIF	10,000	2,000	12,000
Total Project Preparation Financing			20,000	20,000	40,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	20,000	1,900
Co-financing	20,000	
Total	40,000	1,900

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
NPIF	UNDP	Biodiversity	Colombia	20,000	1,900	21,900
Total PPG Amount				20,000	1,900	21,900


E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total (\$)
Local consultants *		5,600		5,600
International consultants*		10,000		10,000
Travel**		3,000	3,000	6,000
Workshops & consultation		1,400	9,000	10,400
Ecoflora staff			8,000	8,000
Total PPG Budget		20,000	20,000	40,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information.

** Travel costs: Travel costs will cover: a) local travel for local consultants to the project areas; and b) travel to Colombia and per day for international expert on monitoring and evaluation.

F. GEF AGENCY CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF/LDCF/SSCF/NPIF Trust Fund criteria for project identification and preparation.					
Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec, UNDP/GEF Executive Coordinator		January 4, 2013	Santiago Carrizosa, Regional Technical Advisor, EBD	+507 302-4510	Santiago.carrizosa@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/Person Week ¹	Estimated PWs ²	Total	Tasks to be Performed
International	1 Monitoring and Evaluation Expert , with knowledge on ABS	2,500	4	10,000	<p>Final proposal preparation (budget and key project design elements):</p> <ul style="list-style-type: none"> • Providing methodological guidance for data collection related to project monitoring. • Providing a precise definition of the GEF incremental value per outcome and output and developing of incremental cost matrices. • Developing a cost-effectiveness analysis protocol to identify optimal interventions, assess lessons learned and outline replication strategies. • Preparing a detailed M&E plan and budget. • Coordinating with local expert collection of relevant information
Local	1 Socioeconomic expert	800	7	5,600	<ul style="list-style-type: none"> • Carrying out the situation analysis about Genipa Americana / blue colorant value chain • Assessing existing capacity and needs related to access to genetic resources and benefit sharing in MADS • Holding meetings and consultations with relevant institutions • Organizing relevant workshops

¹ Dollar amount per person week.

² Person weeks needed to carry out the task

TBWP

Total Budget and Work Plan

Award ID:	00072482
Award Title:	The development and production of natural dyes in the Choco region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol
Business Unit:	COL10
Project Title:	The development and production of natural dyes in the Choco region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol
Project ID:	Project ID 00085564 / PIMS No. 5139
Implementing Partner (Executing Agency)	UNDP for the PPG phase

GEF Outcome/Atlas Activity	Responsible Party/Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount 2013 (USD)	Total (USD)
OUTCOME 1: Preparation of the Project Document	UNDP	62190	GEF 10003	71200	International Consultants	\$10,000	\$10,000
				71400	Consultants	\$5,600	\$5,600
				71600	Travel	\$3,000	\$3,000
				75700	Workshops & consultation	\$1,491	\$1,491
PROJECT TOTAL						\$20,091	\$20,091

Summary of Funds:	Donor	Amount (USD)
	GEF	20,091
	Co-financing (Ecoflora)	20,000
	TOTAL	40,091



Annual Work Plan

Colombia - Bogota

Project: 00072482

Report Date: 2/26/2013

Project Title: PPG ACCESO RECURSOS GENETICOS Y DISTRIBUCION BENEFICIOS

Year: 2013

Output	Key Activities	Timeframe		Responsible Party	Planned Budget				
		Start	End		Fund	Donor	Budget Descr	Amount US\$	
00085564 PPG ABS Desarrollo y produccion	PREPARACION DE PROYE			UNDP	62000	GEFTrustee	75700	Training, Workshops and Confe	1,491.00
				UNDP	62000	GEFTrustee	71600	Travel	3,000.00
				UNDP	62000	GEFTrustee	71200	International Consultants	10,000.00
				UNDP	62000	GEFTrustee	71400	Contractual Services - Individ	5,600.00
TOTAL									20,091.00
GRAND TOTAL									20,091.00



February 22, 2013

Dear Mr. Moro,

Subject: PPG, Colombia: The development and production of natural dyes in the Choco Region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol – PIMS No. 5139 — ATLAS BU: COL10 — Proposal No.: 00072482 — Project No.: 00085564

I am pleased to delegate to you the authority to sign the Initiation Plan for the above-mentioned Project Preparation Grant (PPG) / Programme Cooperation Budget (PCB) on behalf of UNDP, and to commence development of the main project. As per Rule 116.05 of the UNDP Financial Regulations and Rules (Executive Board Decision 2005/1), this document becomes effective when signed by the Resident Representative. The Initiation Plan, which amounts to a total of USD20,091, has received its final approval in accordance with the established GEF procedures (CEO approval letter for the PPG/PCB attached for ease of reference).

I am also pleased to provide a summary of the next steps in the process and to outline, for your easy reference, the mandatory GEF-specific project financial and results management requirements. In addition, a number of Advisory Notes have been prepared to support the implementation of UNDP supported GEF funded projects, these and further clarification on the GEF project cycle and requirements, can be found in the UNDP GEF Programming Manual at <http://intra.undp.org/gef>.

Next steps and mandatory GEF-specific requirements:

1. ***Issuance of Authorized Spending Limit (ASL):*** To facilitate a quick start to the PPG/PCB, once the Initiation Plan is signed, please kindly ensure that the Atlas-generated *Annual Work Plan (AWP)* based on the *Total Budget and Annual Work Plan* in the attached document, along with a copy of the signed cover page, is sent to *Mr. Santiago Carrizosa*, Regional Technical Advisor (RTA) in Panama City.

Any proposed budget revisions should be forwarded to the UNDP RTA together with a clear explanation of the changes proposed as any significant changes require review and approval. In addition, please note that UNDP/GEF is not in a position to increase the project budget above the amount already approved by the GEF Council. Therefore, any over-expenditure on this project would have to be absorbed by other Country Office resources.

2. ***GEF-specific project management requirements:***

- Any changes contemplated with respect to the project objectives and outcomes will have to be discussed with and approved by the UNDP/GEF RTA, as they will have to be reported to the GEF.

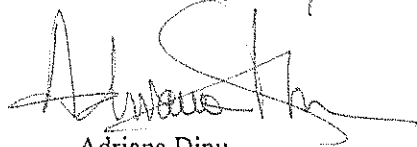
Mr. Bruno Moro
Resident Representative
UNDP Colombia

United Nations Development Programme

- The final *MSP* must be ready by December 2013 as recorded in the Initiation Plan. The Regional Technical Advisor will advise you of the expected timeline for submission of the final proposal for the purpose of internal UNDP clearance prior to submission to the GEF Secretariat.
- The final submission of the *MSP* that is prepared with this preparation grant must be accompanied by a "GEF PPG/PCB Status Report". The template can be obtained from the UNDP GEF Programming Manual <http://intra.undp.org/gef>
- This preparatory phase must be operationally completed in Atlas once the main project is endorsed/approved by the GEF CEO. Financial closure should follow within 12 months, but preferably within the same calendar year.
- Annex 2 includes a number of key UNDP/GEF management performance indicators that aim to improve the efficiency and effectiveness in the oversight and supervision services provided. Performance against these indicators will be monitored on an annual basis.
- In order to accord proper acknowledgement to the GEF for providing funding, full compliance is needed with the GEF's Communication and Visibility Guidelines (the "GEF Guidelines"). The GEF Guidelines can be accessed at:
http://www.thegef.org/gef/sites/thegef.org/files/documents/C.40.08_Branding_the_GEF%20final_0.pdf. Full compliance is also required with UNDP's branding guidelines. These can be accessed at <http://intra.undp.org/coa/branding.shtml>, and specific guidelines on UNDP logo use can be accessed at: <http://intra.undp.org/branding/useOfLogo.html>.

In concluding, I would like to assure you of UNDP/GEF's and my personal commitment to a successful implementation of the project. The Regional Technical Advisors are at your disposal for advice and technical support. Should you have any concerns or questions, please do not hesitate to contact me.

Yours sincerely,



Adriana Dinu
UNDP/GEF Officer-in-Charge

cc: Mr. Heraldo Muñoz, Assistant Administrator and Regional Director, RBLAC
Mr. Santiago Carrizosa, UNDP-EEG Regional Technical Advisor Panama City, Panama
Ms. Jimena Puyana, Programme Officer, UNDP Colombia
Mr. Chris Briggs, UNDP-EEG Regional Team Leader, Panama City, Panama

Annex 1

CEO approval letter for PPG/PCB



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

1818 H Street, NW
Washington, DC 20433 USA
Tel: 202.473.0508
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Email: secretariat@gef.org
www.thegef.org

January 8, 2013

Mr. Yannick Glemarec
GEF Executive Coordinator
United Nations Development Programme
New York, NY 10017

Dear Mr. Glemarec:

I am pleased to inform you that the following submission is approved and will be funded by the Nagoya Protocol Implementation Fund:

Approval Stage:	PPG Approval
GEFSEC (PMIS) ID:	5160
Agency ID:	5139 (UNDP)
Agency(ies):	UNDP
Project Type:	Medium Size Project
Country(ies):	Colombia
Name of Project:	The Development and Production of Natural Dyes in the Choco Region of Colombia for the Food, Cosmetics and Personal Care Industries Under the Provisions of the Nagoya Protocol
PPG Grant:	\$20,091
Agency Fee:	\$1,909

This approval is subject to the comments made by the GEF Secretariat in the attached project review document. It is also based on the understanding that the project is in conformity with GEF focal areas strategies and in line with GEF policies and procedures.

Sincerely,

Naoko Ishii
Chief Executive Officer and Chairperson

Attachment: GEFSEC Project Review Document

cc: Country Operational Focal Point, GEF Agencies, STAP, Trustee

Annex 2: Project Support Services

Stage	Country Office ¹	UNDP/GEF
Identification, Sourcing/Screening of Ideas, and Due Diligence	Identify project ideas as part of country programme/CPAP and UNDAF/CCA.	<ul style="list-style-type: none"> • Technical input to CCA/UNDAFs and CPAPs where appropriate. • Input on policy alignment between projects and programmes. • Provide information on substantive issues and specialized funding opportunities (SOFs). • Policy advisory services including identifying, accessing, combining and sequencing financing. • Verify potential eligibility of identified idea.
	Assist proponent to formulate project idea / prepare project idea paper (e.g. GEF PIF/PPG/PCB).	<i>Technical support:</i> <ul style="list-style-type: none"> • Research and development. • Provide up-front guidance. • Sourcing of technical expertise. • Verification of technical reports and project conceptualization. • Guidance on SOF expectations and requirements. • Training and capacity building for Country Offices.
	<i>Appraisal:</i> <ul style="list-style-type: none"> • Review and appraise project idea. • Undertake capacity assessments of implementing partner as per UNDP POPP. • Environmental screening of project as and when included in UNDP POPP. • Monitor project cycle milestones. 	<ul style="list-style-type: none"> • Provide detailed screening against technical, financial, social and risk criteria. • Determine likely eligibility against identified SOF.
	<i>Partners:</i> <ul style="list-style-type: none"> • Assist proponent to identify and negotiate with relevant partners, cofinanciers, etc 	<ul style="list-style-type: none"> • Assist in identifying technical partners. • Validate partner technical abilities.
	<i>Obtain clearances:</i> <ul style="list-style-type: none"> • Government, UNDP, Executing Agency, LPAC, cofinanciers, etc. 	<ul style="list-style-type: none"> • Obtain SOF clearances.
Project Development	<i>Initiation Plan:</i> <ul style="list-style-type: none"> • Management and financial oversight of Initiation Plan • Discuss management arrangements 	<ul style="list-style-type: none"> • Technical support, backstopping and troubleshooting. • Support discussions on management arrangements • Facilitate issuance of DOA

¹ As per UNDP POPP with additional SOF requirements where relevant.

United Nations Development Programme

Stage	Country Office ¹	UNDP/GEF
	<p><i>Project Document:</i></p> <ul style="list-style-type: none"> • Support project development, assist proponent to identify and negotiate with relevant partners, cofinanciers, etc. • Review, appraise, finalize Project Document. • Negotiate and obtain clearances and signatures – Government, UNDP, Implementing Partner, LPAC, cofinanciers, etc. • Respond to information requests, arrange revisions etc. • Prepare operational and financial reports on development stage as needed. 	<p><i>Technical support:</i></p> <ul style="list-style-type: none"> • Sourcing of technical expertise. • Verification of technical reports and project conceptualization. • Guidance on SOF expectations and requirements. • Negotiate and obtain clearances by SOF • Respond to information requests, arrange revisions etc. • Quality assurance and due diligence. • Facilitate issuance of DOA
<p><i>Key UNDP/GEF management performance indicators/targets for Project Development:</i></p> <ol style="list-style-type: none"> 1. Time between PIF approval to CEO endorsement for each project: <ul style="list-style-type: none"> • Target for GEF trust fund project: FSP = 18 months or less, MSP 12 months or less. • Target for LDCF and SCCF: FSP/MSP = 12 months or less. 2. Time between CEO endorsement (or PAC for non GEF funded projects) to first disbursement for each project: <ul style="list-style-type: none"> • Target = 4 months or less 		
<p>Project Oversight</p>	<p><i>Management Oversight and support</i></p>	<p><i>Technical and SOF Oversight and support</i></p>
	<p><i>Project Launch/Inception Workshop</i></p> <ul style="list-style-type: none"> • Preparation and coordination. 	<ul style="list-style-type: none"> • Technical support in preparing TOR and verifying expertise for technical positions. • Verification of technical validity / match with SOF expectations of inception report. • Participate in Inception Workshop
	<p><i>Management arrangements:</i></p> <ul style="list-style-type: none"> • Facilitate consolidation of the Project Management Unit, where relevant. • Facilitate and support Project Board meetings as outlined in project document and agreed with UNDP RTA. • Provide project assurance role if specified in project document. 	<ul style="list-style-type: none"> • Technical input and support to TOR development. Troubleshooting support. • Support in sourcing of potentially suitable candidates and subsequent review of CVs/recruitment process.
	<p><i>Annual WorkPlan:</i></p> <ul style="list-style-type: none"> • Issuance of AWP. • Monitor implementation of the annual work plan and timetable. 	<ul style="list-style-type: none"> • Advisory services as required • Review AWP, and clear for ASL where relevant.
	<p><i>Financial management:</i></p> <ul style="list-style-type: none"> • Conduct budget revisions, verify expenditures, advance funds, issue combined delivery reports, ensure no over-expenditure of budget. • Ensure necessary audits. 	<ul style="list-style-type: none"> • Allocation of ASLs, based on cleared AWP • Return of unspent funds to donor • Monitor projects to ensure activities funded by donor comply with agreements/ProDocs • Oversight and monitoring to ensure financial transparency and clear reporting to the donor

United Nations Development Programme

Stage	Country Office ¹	UNDP/GEF
	<p><i>Results Management:</i></p> <ul style="list-style-type: none"> • Alignment: link project output to CPAP Outcome in project tree in Atlas, link CPAP outcome in project tree to UNDP Strategic Plan Environment and sustainable Development Key Result Area as outlined in project document during UNDP work planning in ERBM. • Gender: In ATLAS, rate each output on a scale of 0-3 for gender relevance. • Monitoring and reporting: Monitor project results, track result framework indicators, and co-financing where relevant. Monitor risks in Atlas and prepare annual APR/PIR report where required by donor and/or UNDP/GEF. • Annual site visits – at least one site visit per year, report to be circulated no later than 2 weeks after visit completion. 	<ul style="list-style-type: none"> • Advisory services as required. • Quality assurance. • Project visits – at least one technical support visit per year.
	<p><i>Evaluation:</i></p> <ul style="list-style-type: none"> • Integrate project evaluations into CO evaluation plan. Identify synergies with country outcome evaluations. • Arrange mid-term, final, and other evaluations: prepare TOR, hire personnel, plan and facilitate mission / meetings / debriefing, circulate draft and final reports. • Participate as necessary in other evaluations. • Ensure tracking of committed and actual co financing as part of mid-term and final evaluations. • Prepare management response to project evaluations and post in UNDP ERC. 	<ul style="list-style-type: none"> • Technical support and analysis. • Quality assurance. • Compilation of lessons and consolidation of learning. • Dissemination of technical findings. • Participate as necessary in other SOF evaluations.
	<p><i>Project Closure:</i></p> <ul style="list-style-type: none"> • Final budget revision and financial closure (within 12 months after operational completion). • Final reports as required by donor and/or UNDP/GEF. 	<ul style="list-style-type: none"> • Advisory services as required. • Technical input. • Quality assurance.

United Nations Development Programme

Stage	Country Office ¹	UNDP/GEF
<i>Key UNDP/GEF management performance indicators/targets for Project Oversight:</i>		
1.	Each project aligned with country outcomes and UNDP Strategic Plan Environment and Sustainable Development key results, and included in Country Office Integrated Work Plan in the ERBM:	<ul style="list-style-type: none"> • Target = 100%
2.	Quality rating of annual APR/PIRs: Once completed and submitted, the quality of each project APR/PIR is rated by an external reviewer	<ul style="list-style-type: none"> • Target = Rating of Satisfactory or above
3.	Quality rating of Terminal Evaluations: Once completed, the quality of each terminal evaluation is rated by an external reviewer	<ul style="list-style-type: none"> • Target = Rating of Satisfactory or above
4.	Quality of results achieved by project as noted in terminal evaluation: the independent evaluator assigns an overall rating to the project.	<ul style="list-style-type: none"> • Target = Satisfactory or above



**COMITÉ DE EVALUACIÓN DE PROYECTOS
PAC**

Fecha de la Reunión: 18 de febrero de 2013

Award: 72482

Proyecto No. 85564

Título: Desarrollo y producción de colorantes naturales en la región del Chocó de Colombia, para la industria alimenticia, de cosméticos y cuidado personal, bajo las disposiciones del Protocolo de Nagoya

Nombre de la Agencia de Ejecución: PNUD

Duración del Proyecto: 1 año

Modalidad de Ejecución: DIM – Plan de Iniciación

UNDAF Outcome(s): Capacidades nacionales, regionales y locales fortalecida para la gestión integral del territorio que garantice el desarrollo sostenible

Expected CP Outcome Se consolidan las capacidades nacionales para promover la sostenibilidad ambiental, la gestión integral de riesgos de desastres y la planificación territorial sostenible

Expected CP Output: Se capacita y apoya a instituciones públicas y de la SC para enfrentar y reducir los efectos negativos del cambio climático, la reducción de la capa de ozono y el manejo de los residuos sólidos y los contaminantes orgánicos persistentes, prestando especial atención a los procesos de conservación, restauración y uso sostenible de ecosistemas estratégicos y de provisión y uso racional y eficiente de energía.

Presupuesto del Proyecto: 40.000 de los cuales 20.000 son contrapartida GEF

Funcionario que solicita el análisis del PAC: Jimena Puyana



Antecedentes:

Colombia fue el primer país en firmar el Protocolo de Nagoya sobre Acceso a Recursos Genéticos y Distribución de Beneficios bajo el Convenio sobre la Diversidad Biológica (CDB), el cual se adoptó en la 10ª Reunión de la Conferencia de las Partes del Convenio, que tuvo lugar en octubre de 2010 en Nagoya, Japón.

El Ministerio de Ambiente y Desarrollo Sostenible en el afán de cumplir los compromisos en este sentido, solicitó al PNUD gestionar conjuntamente ante el GEF (Fondo Mundial para el Medio Ambiente) recursos para el desarrollo de un proyecto, cuyo resultado fue la aprobación por parte de este fondo de la idea de proyecto (PIF) y posteriormente recursos para su formulación (PPG).

El proceso de PPG involucrará los actores locales y apoyará las actividades para completar el diseño del proyecto "Desarrollo y producción de colorantes naturales en la región del Chocó de Colombia, para la industria alimenticia, de cosméticos y cuidado personal, bajo las disposiciones del Protocolo de Nagoya".

Componentes del proyecto:

1. Análisis situacional sobre la cadena de valor del colorante azul de Genipa Americana (jagua): definir la línea base legal / política e identificar las amenazas, obstáculos y oportunidades para el desarrollo de la cadena de valor, el acceso y el uso sostenible de la Genipa Americana. El proyecto también examinará los riesgos, los obstáculos y las funciones derivadas de la relación entre Ecoflora, las comunidades locales, las ONG y las autoridades nacionales que confluyen en el área del proyecto.. Esta actividad contribuirá a evitar conflictos entre los actores locales y Ecoflora y asegurar una implementación exitosa de la propuesta.
2. Evaluación de la capacidad existente y las necesidades relacionadas con el acceso a los recursos genéticos y distribución de beneficios en el Ministerio de Medio Ambiente y Desarrollo Sostenible (MADS): se establecerá una línea de base para las necesidades de capacitación y formación del personal sobre ABS en MADS.
3. Obtención del consentimiento previo libre e informado (CLPI): los fondos de PPG apoyarán los esfuerzos para obtener el CLPI de las dos comunidades que participan en el proyecto. Este esfuerzo se basará en las actividades de consulta actuales llevadas a cabo por Ecoflora con estas comunidades con el fin de cumplir con la legislación nacional en la materia.
4. Elaboración de la propuesta final (presupuesto y los principales elementos de diseño del proyecto): Basado en el análisis anterior y en información detallada, el documento del proyecto se elaborará teniendo en cuenta el análisis de costo incremental y costo-efectividad, los riesgos y las medidas de mitigación a fin de establecer un marco de resultados claro y alcanzable, el presupuesto y su correspondiente plan monitoreo y evaluación. Una estrategia de sostenibilidad detallada será definida con el fin de asegurar la replicación de beneficios más allá de la vida útil del proyecto.



Principales productos

El resultado final del proceso de PPG será el documento de proyecto (PRODOC) y la Solicitud de Aprobación CEO, que se presentará a la Secretaría del GEF dentro de los tiempos establecidos, y con toda la información de apoyo necesaria, incluyendo las cartas de cofinanciación.

Análisis de Riesgos:

1. No contar con el consentimiento libre, previo e informado por parte de las comunidades involucradas en el proyecto. Aunque el riesgo es **bajo**, la medida de mitigación es un acompañamiento fuerte del PNUD para garantizar un flujo de información adecuada entre las partes interesadas, para que las comunidades expresen su conformidad con el proyecto.
2. Tasa de cambio volátil. Debido a que los recursos del PPG son limitados, se considera un riesgo **medio**, por lo tanto se plantea ajustar el presupuesto teniendo en cuenta una tasa de cambio de 1700.
3. Problemas de orden público en la zona que dificultan el transporte. Aunque no es muy frecuente, se considera un riesgo **medio**, para mitigarlo se propone coordinación y comunicación constante con autoridades locales y comunitarias, y monitoreo por parte del Departamento de Seguridad de Naciones Unidas.

Comentarios y Recomendaciones del PAC:

- Se recomienda desarrollar el análisis situacional inicial con un enfoque de genero. Se incluirá el enfoque de género en los términos de referencia para la consultoría que desarrollará este análisis.
- Verificar la posibilidad de que se presenten riesgos financieros y como serian mitigados al igual que el enunciado en el analisis.

Miembros del PAC: Jose Puyana, Jimena Puyana, Amalia Alarcón, Carolina Naranjo, David Quijano, Juan Manuel Garzon

Daniel Vargas
Presidente del PAC

Fecha: 19. feb. 2013

Angelis Cano

From: Edwin Chipsen
Sent: Monday, February 25, 2013 5:12 PM
To: Jimena Puyana
Cc: Angelis Cano; Santiago Carrizosa; Chris Briggs; Claudia Marín (claudia.marin@pnud.org.co)
Subject: Delegation of Authority: PIMS 5139 PPG COL NPIF project
Attachments: 5139 PPG Colombia DOA dated 22Feb2013.pdf; COL85564_72482 PIMS 5139 ABS-Colombia PPG- Initiation Plan_060213 - 20FEB2013 - revised.docx

Categories: Red Category

Buenas tardes Jimena,

Nos complace compartirte la carta de Delegación de Autoridad para el proyecto *"The development and production of natural dyes in the Choco Region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol"*, con lo cual pueden proceder a la firma del plan de iniciación. Favor notar que la cantidad aprobada de acuerdo a la carta PPG Approval es de USD\$20,091. Por tanto, nuestros colegas de Finanzas UNDP-GEF indicaron que se debía corregir el monto en el cover page y también en el TBWP del plan de iniciación. Favor utilizar el IP adjunto que incorpora dichos cambios.

Les agradecemos enviarnos el IP firmado junto con el AWP de Atlas aprobado (KK'ed) para tramitarles el ASL y de esta forma habilitar el proyecto para dar inicio a la implementación.

Gracias y saludos,
Edwin



Edwin Chipsen
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LISTA DE CHEQUEO PARA FIRMA DE PRODOCS /REVISIONES

PROGRAMA

DATOS GENERALES

FECHA 26 FEB 2013
 NUMERO DEL AWARD :00072482
 NUMERO DEL PROYECTO :00085564
 NOMBRE DEL PROYECTO *The development and production of natural dyes in the Choco Region of Colombia for the food, cosmetics and personal care industries under the provisions of the Nagoya Protocol*
 REVISIÓN ANTERIOR: REVISIÓN ACTUAL: INICIAL A
 PRODOC NUEVO: SI FECHA PREPAC NA__ FECHA PAC 19-feb-2013

FIRMAS

	NOMBRE	FIRMA	FECHA
ANALISTA	<u>JIMENA PUPPE</u>		<u>26.02.13</u>
FINANZAS	<u>OSCAR ORVALLE</u>		<u>26/02/13</u>
ADQUISICIONES	<u>N/A</u>	_____	<u>28/2/13</u>
<small>(solo si el proyecto tiene un componente alto de adquisiciones)</small>			
PLANEACION ESTRATEGICA	<u>Daniel Vargas</u>		<u>5/3/13.</u>

OBSERVACIONES:

ENVIO A LA SEDE : _____
(solo si se trata de nuevo PRODOC o Rev. Sustantiva)